Annex US.IV, page 1

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)  Declaration Submitted With Initial Filing (surcharge (37 CFR 1.16 (e)) required to respond to a collection of information unless it contains a valid OMB of Number First Named Inventor  COMPLETE IF KNOWN  Application Number Filing Date Art Unit Examiner Name  I hereby declare that:  Each inventor's residence, mailing address, and citizenship are as stated below next to their name.  I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed a which a patent is sought on the invention entitled:  SONG,  Was filed on (MM/DD/YYYY)  Application Number  Cuttradized Transactional Security Audin is attached hereto Enter prise Systems  OR  Application Number  Cuttradized Transactional Security Audin is attached hereto Enter prise Systems  OR  Application Number  Complete inventor(s) of the subject matter which is claimed a which a patent is sought on the invention entitled:  Sold on (MM/DD/YYYY)  Olinizers  as United States Application Number or PCT in the reviewed and understand the contents of the above identified specification, including the amended by any amendment specifically referred to above.  I acknowledge the duty to discolose information which became available between the filing date of the prior and the national or PCT international filing date of the continuation-in-part applications, material information which became available between the filing date of the prior and the national or PCT international filing date of the continuation-in-part application of patent, inventor's or plant breeder's rights certificate(s), or 385(a) of any PCT international application which designated country other than the United States of America, listed below and have also identified below, by checking the box, application for patent, inventor's or plant breeder's rights certificate(s), or 385(a) of any PCT international application havinch designated country other than the United States of America, liste	MB 0651-0032 COMMERCE
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)  Declaration Submitted With Initial Filing (surcharge (37 CFR 1.66))  Declaration Submitted With Initial Filing (surcharge (37 CFR 1.66))  Required)  Declaration Submitted With Initial Filing Date  Art Unit  Examiner Name  Filing Date  Art Unit  Examiner Name  I hereby declare that:  Each inventor's residence, mailing address, and citizenship are as stated below next to their name.  I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed a which a patent is sought on the invention entitled:  SONG, Jun  The specification of which  is attached hereto  Enter Prise Systems  OR  Application Number  Application Number  Application Number  This Named Inventor  First Named Inventor  Filling Date  Art Unit  Examiner Name  (Title of the Inventor(s) of the subject matter which is claimed a which a patent is sought on the invention entitled:  SONG, Jun  Application of Which  Centralized Transactional Security Audin  is attached hereto  Enter Prise Systems  OR  Application Number  Application Number or PCT in the Invention of the above identified specification, including the manded by any amendment specifically referred to above.  I acknowledge the duty to disclose information which became available between the filing date of the prior and the national or PCT international ining date of the continuation-in-part applications, material information which became available between the filing date of the prior and the national or PCT international prications, material information which became available between the filing date of the continuation-in-part application, which became available between the filing date of the continuation-in-part application, which became available of America, listed below and have also identified below, by checking the box, by checking the box, by checking the box.	ontrol number.
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PATENT APPLICATION (37 CFR 1.63)  Declaration Submitted or Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)  Declaration Submitted after Initial Filing Date Art Unit  Examiner Name  Thereby declare that:  Each inventor's residence, mailing address, and citizenship are as stated below next to their name.  Ibelieve the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed a which a patent is sought on the invention entitled:  SONG,  was filed on (MM/DD/YYYY)  Original and first inventor(s) of the subject matter which is claimed a which a patent is sought on the invention entitled:  SONG,  as United States Application Number or PCT in the state that I have reviewed and understand the contents of the above identified specification, including the lamended by any amendment specifically referred to above.  acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, is continuation-in-part applications, material information which became available between the filing date of the prior and the national or PCT international filing date of the continuation-in-part applications, material information which became available between the filing date of the prior and the national or PCT international filing date of the continuation-in-part applications, mentor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which becomes available between the filing date of the prior on the polar priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications, when they are of the three than the United States of America, listed below and have also identified below, by checking the box, by checking the box,	
Declaration Submitted With Initial Filing (surcharge (surcharge))  Application Number PCT/US2005/ Filing Date // Tournary 20  Art Unit Examiner Name  Thereby declare that:  Each inventor's residence, mailing address, and citizenship are as stated below next to their name.  believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed a which a patent is sought on the invention entitled:  SONG, JWA  (Title of the Invention)  The specification of which Centralized Transactional Security Audin is attached hereto Enter Prise Systems  OR  Was filed on (MM/DD/YYYY) Olivizes as United States Application, including the impended by any amendment specifically referred to above.  acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, is continuation-in-part applications, material information which became available between the filing date of the continuation-in-part application. hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application, which designated to country other than the United States of America, listed below and have also identified below, by checking the box, such as the submended of the filing date of the method sidentified below, by checking the box, such as the submended of the filing date of the method is defented in the volument of the material application which designated to submy other than the United States of America, listed below and have also identified below, by checking the box,	<u> </u>
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he specification of which Centralized Transactional Security Audin is attached hereto Enter Prise Bystems  OR  Application Number PCT International Transaction Number or PCT International Transaction.  Application Number PCT/S205/01/68 and was amended on (MM/DD/YYYY) 08/01/205 (if hereby state that I have reviewed and understand the contents of the above identified specification, including the amended by any amendment specifically referred to above.  Continuation-in-part applications, material information which is material to patentability as defined in 37 CFR 1.56, is continuation-in-part applications, material information which became available between the filing date of the prior and the national or PCT international filing date of the continuation-in-part application.  Thereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application which designated country other than the United States of America, listed below and have also identified below, by checking the box,	nd for
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pefore that of the application on which priority is claimed.	at least one any foreign
Prior Foreign Application Foreign Filing Date Priority Certified Copy	
Number(s) Country (MM/DD/YYYY) Not Claimed YES	NO

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14.-This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **DECLARATION** — Utility or Design Patent Application

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Petitioner/applicant is cautioned to avoid subrontribute to identity theft. Personal information numbers (other than a check or credit card autithe USPTO to support a petition or an application the USPTO, petitioners/applicants should constituent to the USPTO. Petitioner/applicant is publication of the application (unless a non-putor issuance of a patent. Furthermore, the reapplication is referenced in a published appauthorization forms PTO-2038 submitted for publicly available.  I hereby declare that all statements made her and belief are believed to be true; and further transport to the substantial statements are the substantial statements.	ation such as social security nun- thorization form PTO-2038 submittation. If this type of personal info- sider redacting such personal info- advised that the record of a pate blication request in compliance wi- cord from an abandoned applical plication or an issued patent (so payment purposes are not retained	nbers, bank accounted for payment purportion is included in treation from the docent application is avoid to the second application is avoid to the second application may also be avoided and the application application and that all statements.	t numbers, or credit card oses) is never required by a documents submitted to cuments before submitting allable to the public after s made in the application) allable to the public if the Checks and credit card file and therefore are not ents made on information	
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NAME OF SOLE OR FIRST INVENTOR:	A petition has be	en filed for this unsig	ned inventor	
Given Name (first and middle [if any])	F	amily Name or Surna		
Juh		Song	le :	
Inventor's Signature	12		Date 07/11/2006	
Residence: City Branchiff Manor New	York Country US	Á Citize	US A	
Mailing Address'  9 Briarbrook D	r.			
Briardiff Manos New	York Zip	0510	Country	
Additional inventors or a legal representative are bei	ing named on thesupplement	al sheet(s) PTO/SB/02A or	02LR attached hereto.	

[Page 2 of 2]

## SAP20 Rec'd PCT/PTO 13 JUL 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APPLICATION FOR FILING UNDER THE PATENT COOPERATION TREATY

TITLE OF INVENTION:

Centralized Transactional Security Audit for

**Enterprise Systems** 

APPLICANT:

SONG, Jun

## Statement of No Agent Appointed

Due to the possibility of the Applicant and Inventor, Mr. SONG, Jun may have been tricked to sign some papers (possibly blank power of attorney) as part of tax document. Thus some one may use it to do things that Mr. SONG is not aware of or unwilling to do.

Mr. SONG hereby declares that no agent has been appointed or given the right of power of attorney to handle this application for Mr. SONG.

In the future, should any power of attorney be authorized on Mr. behalf, the following conditions to be met:

- 1. Notarized signature from Mr. SONG, Jun
- 2. Finger Print from Mr. SONG, Jun

Otherwise, the power of attorney should be hold as invalid. Please also inform Mr. SONG if anyone is trying to declare that Mr. SONG has given he/she the rights to do so.

SONG, Jun

Signature

Date:

7/11/2006

Finger Print:

